Customer No. 20350

TOWNSEND and TOWNSEND and CREW PLF
Two Embarcadero Center, 8<sup>th</sup> Floor
San Francisco, California 94111-3834

(650) 326-2400

Enclosed are:

# ASSISTANT COMMISSIONER FOR PATENTS BOX PATENT APPLICATION

wasnington, D.C. 20231		
Sir:		
Transmitted herewith for filing under 37 CFR 1.53(b) is the		
[X] patent application of		
[ ] continuation patent application of		
divisional patent application of		
[ ] continuation-in-part patent application of		
T		

Inventor(s)/Applicant Identifier: Satoshi Yoshizawa and Kenichi Otsuki

Attorney Docket No. \_ 16869C-005400US Client Ref. No.: \_ HAL-ID-133 "Express Mail" Label No. \_\_\_\_EL524032664US Date of Deposit: \_\_\_ March 1, 2000 I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to:

Washington, D.C. 20231

Assistant Commissioner for Patents

For: METHOD AND APPARATUS FOR MANAGING QUALITY OF SERVICE IN NETWORK DEVICES

		pages of								
		page of T		et						
		pages of								
	X]11	page of A								
	X ] 12 8 V 1 An assissment	sheets of			TT:4==1-: A	Т.1				
, † ;	X ] An assignr			ver of Attorn	Hitachi Ame	rica, Lta.				<del> </del>
= [	X I Information				PTO-1449, and six	references				
				, 1 01111	1101119, and on	10101011005.				
S	•									
									OTHER THAN	J
		(Col. 1)		(Col. 2)		SMALL ENTI	TY	_	SMALL ENTI	TY
<u>.</u>	FOR:	NO. FI	LED	NO. EXTR	RA .	RATE	FEE	OR	RATE	FEE
š L	BASIC FEE						\$345.00	OR		\$690.00
	TOTAL	57	- 20	= *37		x \$9.00 =		OR	x \$18.00 =	\$666.00
<u>.</u>	CLAIMS									
	INDEP.	6	- 3	= *3		x \$39.00 =		OR	x \$78.00 =	\$234.00
. <u>.</u>	CLAIMS									
= _	[ ] MULTIPLE I					+ \$130.00 =		OR	+ \$260.00 =	
: :::: : :::::::::::::::::::::::::::::	* If the difference	e in Col.	1 is less	than 0, enter	"0" in	TOTAL		OR	TOTAL	\$1,590.00
: #	Col. 2.									
*	Please char	rge Deno	sit Acco	unt No. 20-1	430 as follows:					
			Filing fee		150 45 10110 115.	\$	1,590.0	10		
					ssociated with this p	paper or during th			olication.	
	-				CFR 1.18 at or bei					CFR
	-		1.311(b)			<b>8</b>			, <u>r</u>	
	Г Л . А	ahaal- f	e	2	1	D 4C-1				
		check fo			s enclosed.		lly submitted,	(CD)	1 CD PW LLD	
	<u>2</u> e:	xira copi	es or unis	sheet are en	ciosed.	IOWNSE	ND and IOWN	SEND	and CREW LLP	•
						l,		7/	4	
						21/2	ierso 15	J	/ _	
*	Telephone			Facsimile:		George B.	F Nee	' P		
	(650) 326-2	2400		(650) 326-24	122	Reg No.: 3		1		
						•	for Applicants			

PA 3054155 v1

Attorney Docket No.: 16869C-54US Client Reference No.: HAL-ID 133

# PATENT APPLICATION

# METHOD AND APPARATUS FOR MANAGING QUALITY OF SERVICE IN NETWORK DEVICES

Inventors:

Satoshi Yoshizawa, a citizen of Japan, residing at, 12309 Obrad Drive

Saratoga, CA 95070

Kenichi Otsuki, a citizen of Japan, residing at,

1742 Sand Hill Road

#203

Palo Alto, CA 94304

Assignee:

Hitachi America, Ltd. 2000 Sierra Point Parkway Brisbane, California 94005 (A New York Corporation)

Entity:

Large

Client Reference No.: HAL-ID 133

# METHOD AND APPARATUS FOR MANAGING QUALITY OF SERVICE IN NETWORK DEVICES

#### BACKGROUND OF THE INVENTION

The present invention relates to telecommunication networking in general, and in particular to network devices as they pertain to service levels for data delivery. More specifically, the present invention relates to the real-time adjustment of quality-related attributes in network devices.

Router and switch devices are used for connecting networks (e.g., LANs, WANs, and the like) and for transferring datagrams from one network to another. Datagrams contain communication data (payload) as well as a source address and a final destination address. A widely used datagram format is the transmission control protocol/internet protocol (TCP/IP) format. A switch simply provides routing of incoming datagrams to a specific output port based on the destination information contained in the datagram. A router, likewise, will send a datagram to an intermediate destination which ultimately results in the final destination. The router, however, will select from among many intermediate destinations depending on criteria such as traffic conditions, availability, and the like.

Hosts coupled in a network carry a variety of kinds of data. Certain types of data transmissions (or data flows) may require a certain level of quality from the network. A common attribute is Quality of Service (QoS). For example, the transmission of video data and/or voice data typically requires a higher QoS than is typically needed when transferring data to display a World Wide Web (WWW) page in order to ensure a smooth presentation of the video and/or voice content. This especially the case for audio content because human hearing is very sensitive to degradations in audio transmissions, even as compared to video transmissions. However, when viewing a web page, delays in displaying the page contents resulting from a lower QoS can be tolerated.

QoS can manifest itself in various ways. For example, QoS may specify a minimum bandwidth requirement, a maximum transmission delay, or an amount of memory or buffer space required for the data flow. Thus, a video conference or other real-time data transmission may have a high QoS, requiring substantial bandwidth and minimum delay from the network. By specifying the QoS required for a particular data flow, the data source or destination can ascertain whether an acceptable path is available.

24

5

10

15

25

20

30

10

15

20

25

30

Thus, QoS routing allows data flows to be routed around links that lack the necessary resources.

In a typical operating environment of an Internet protocol (IP) router or switch (collectively referred to herein as network devices), there is a system administrator who will adjust the QoS setting in the course of performing various administrative tasks. Alternatively, an automated administration-type controller responsible for managing a set of network devices might periodically adjust the QoS settings in response to traffic conditions within the domain serviced by the network devices.

The flowchart shown in Fig. 15 highlights the steps of a conventional approach to changing the QoS setting in a network device. The administrator, whether a human or an automated controller, typically gains access to the network device via a maintenance channel. This can be a logical channel such as a telnet session accessed over one of the local area network (LAN) interfaces on the network device, e.g., an ethernet port. Alternatively, the network device might have a serial port or the like having a connection to a PC. Through the use of service software contained in the network device, an administration command to change the QoS setting is submitted, step 1504. The administration command is communicated to an internal controller of the network device, step 1506, by way of a suitable protocol standard, command interface, or application program interface (API). For current technology, the use of a management/maintenance protocol such as SNMP (Simple Network Management Protocol) is most common. In addition to the protocol support, most devices have a command interface primarily to support proprietary features of the devices. There, a controller computer within the network device extracts QoS parameters from the command and updates the contents of a QoS table, step 1508. Typically, this sequence is executed rather infrequently, on the order of once every few hours or longer.

In current network usage scenarios, most QoS allocations are given on a per-request basis. For example, let's imagine that we want to hold a tele-conference from 1 P.M. to 3 P.M. on a particular date. In this case, we give a request to the administrator (humans or computer) to allocate particular QoS at the network devices involved in the conference (not necessarily one-to-one, but multiple points may be involved). The administrator can then set a trigger mechanism for the command to be sent to the network devices at 1 P.M. (or 12:55), so as to provide support the tele-conference session.

In the future, it is envisioned that the QoS control would not be applied just in a "session-oriented" time granularity as mentioned above, but rather in "real-time"

10

15

20

25

30

granularity. For example, you may want to change the QoS setting at a particular device amidst transmitting a flow of video data, depending on the content. There could be scenes (of few minutes in duration) in the video that mainly consist of still pictures where the bandwidth requirement becomes lower. So, rather than reserving a particular bandwidth for the entire duration of the video flow (which could be in the order of hours), we want to change the QoS in a more "dynamic" manner so as to use the entire network resources in a more efficient manner.

However, when changing QoS dynamically during the transmission of data in this way, a problem presents itself since the timing of QoS changes and the flow of data is not linked (synchronized). For example, the transmission of an image requires communicating numerous image-data packets from a server source to a client destination. Suppose, in an attempt to raise transmission priority, the QoS is changed in the midst of the stream of image-data packets. As a consequence, the later transmitted image-data packets could arrive prior to the earlier transmitted packets. In such a case, the packets arriving at the destination would be out of sequence.

The destination site might be able to compensate by the use of additional software that receives the packets and buffers them. The software can then re-sequence the packets and display the image properly. Alternatively, the receiving software can implement a very large buffer to store the entire image before displaying it. In either case, the additional complexity in the client-side software is undesirable and degrades performance. Moreover, the latter solution further suffers from the requirement of additional memory, either real or virtual (which would further degrade performance from to the likelihood of additional delay due to increased disk swapping). In the case of a video stream, buffering may not work altogether because of the large amounts of data in a live video feed and the real time aspects of live action video. In such situations, data packets arriving out of sequence might be discarded, resulting in a garbled video image.

Typical schemes for adjusting the QoS of a network device are known. For example, the "differentiated services" architecture defined by the Internet Engineering Task Force (IETF) uses an IP-layer packet marking scheme to convey a desired QoS. The IP header contains a differentiated services code point (DSCP) field. The DSCP is used to map each packet to a particular transmission priority in the network device. The mapping between the DSCP value and the transmission priority typically is set by the network management system prior to the start of a transmission. The mapping

10

15

20

25

remains unchanged until the transmission is complete. In this scheme, there is no mechanism for changing the mapping during a transmission.

In an "active networks," users can insert customized programs into the data stream. The packet of data contains a program that is executed by one or more network devices encountered along its journey to the destination. Thus, a program can be written and inserted into the data stream to control the QoS behavior at the network nodes. A disadvantage with this approach is that the encapsulation of the program into the packet limits the amount of payload the packet can carry. Though the versatility and flexibility of active networks provide fine control over the QoS on a packet-by-packet basis, this capability occurs at the cost of having to provide software execution at each network device. The extra processing can degrade the performance of network transmissions.

In a class of networks known as programmable networks, currently being defined by the IEEE Society, the resources of a network are represented as software abstractions. The software interacts with the network devices through means of a set of standardized application program interfaces (API's). By abstracting resources related to switching functionality such as signaling services and quality level services, the goal is to facilitate the development and deployment of new services.

There is a general need to provide better utilization of network resources and more flexible services. Adjusting the QoS permits the data service provider with the capability to improve resource utilization for its users. The service provider can then design new services and more flexible and equitable billing policies by having finer control over the data transmission. In this way, for example, premium users can be allocated greater bandwidth than would be normally provided for an average user. In other situations, bandwidth allocation might be readjusted among the users to accommodate changing traffic conditions. Similarly, transmission delays can be controlled as needed to improve transmission times for resource-intensive applications such as video conferencing connections. A need exists for an approach which can realize the foregoing benefits.

30

# SUMMARY OF THE INVENTION

The present invention allows a data service provider to communicate information to one or more network devices so to alter at least one transmission attribute in the network device during the normal course of data transmissions to its clients. The

And then the profit place place from the free place place from the place from the flow flows to the flow that

5

10

15

20

25

30

data service provider is then able to tailor appropriate service levels for its various clients by more effectively allocating network resource. The data service provider can effectively react to changing traffic conditions by reallocating resources among all of its clients. Additional services and more flexible billing policies can be developed.

In an aspect of the invention, a data delivery system includes a data server in data communication with one or more network devices. Accordingly, there is the transmission of first information to a targeted network device, a current network device attribute of which is to be changed. The transmission of first information originates from the data server. The first information comprises at least one new network device attribute, which is stored in the network device. A transmission of second information to the target network device is subsequently produced by the data server. The second information acts as a trigger, activating the network device to update its current attribute setting with the new attribute setting. Therefore, dynamic real-time adjustments to the network device attribute becomes feasible.

In accordance with this aspect of the invention, the first information may also contain addressing information to identify the targeted network device(s). The second information can be contained in a client-destined data packet. The new attribute setting in the targeted network device is put into effect prior to sending the data packet containing the second information which triggers the new attribute setting activity. Alternatively, the activity occurs subsequent to transmission of the data packet containing the second information.

In accordance with another aspect of the invention, either or both the first and second information can be contained in special data packets that are intercepted by the network device.

In a particular aspect of the invention, the network device attribute being adjusted is a quality of service (QoS) setting, and the first information contains QoS parameters which specify a QoS setting. In one variation of this particular aspect of the invention, the first information contains a list of QoS parameters. In another variation of this particular aspect of the invention, a list of QoS parameters is built up in the targeted network device, and the second information contains an index into the list of QoS parameters.

In yet another aspect of the invention, a network device includes network circuitry coupled to a network for receiving data packets from the network. There is data monitoring circuitry to detect the presence of a received data packet containing first

information. A first program code is provided to receive one or more externally provided QoS parameters. A second program code is provided to alter the QoS setting of the network device in accordance with the externally provided QoS parameters in response to detecting the first information.

5

10

15

20

25

### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a simplified system diagram of a typical data server application over a network which operates in accordance with the present invention.

Fig. 2 is a simplified block diagram of a router configured in accordance with the present invention.

Fig. 3 illustrates a flow control table in accordance with one embodiment of the invention.

Fig. 4 outlines some of the processing which takes place in a forwarding controller in accordance with an embodiment of the invention.

Fig. 5 outlines a variation in the processing of the forwarding controller.

Fig. 6 is an API highlighting the features for setting the flow control table in accordance with the present invention.

Fig. 7 illustrates a flow control table in accordance with another embodiment of the invention.

Fig. 8 outlines some of the processing which takes place in a forwarding controller in accordance with another embodiment of the invention.

Fig. 9 outlines a variation in the processing of the forwarding controller.

Figs. 10 - 12 are variations of API's highlighting the features for setting the flow control table of Fig. 7

Fig. 13 illustrates yet another embodiment of the flow control table.

Fig. 14 shows a typical bandwidth pattern in a video stream.

Fig. 15 illustrates a typical prior art maintenance sequence.

## DESCRIPTION OF THE SPECIFIC EMBODIMENTS

30

Purely as a vehicle for explaining the inventive features and benefits of the present invention, an embodiment of the invention provides for a network service deployed over a programmable network. In the exemplary embodiment, the network service is provided in accordance with the Multi-Quality Layered Video Service (MQLVS) model for delivering video data, as discussed in a paper presented by T. Suzuki

10

15

20

25

30

at the Meeting of Study Group 16 during the International Telecommunication Union on May 1999, entitled "Scalable MPEG-2 Video Transmission System over IP Network," and incorporated herein in full for all purposes.

Fig. 1 is a simplified system diagram of one such programmable network. A video server 100 transmits a video program over a network 10 to various clients 104. The network 10 comprises the Internet which is a global amalgamation of networks and network device. Alternatively, network 10 can be a more localized intranet. The network is defined by the interconnection of a multitude of network devices 102, including routing devices and switching devices. At the receiving end of video server 100 are clients 104.

Fig. 2 is a simplified system diagram illustrating the typical configuration of a router device 102. The router device includes a controller portion 210 which is typically a computer residing separately from a router portion 220, typically a conventional router. In one configuration, controller portion 210 is located next to router portion 220. The controller 210 can be a PC or a work station coupled to router portion 220 by a network link. In another embodiment, the controller 210 is located remotely. In still another embodiment, a single controller is used to manage one or more router portions 220.

The controller portion 210 includes service software 212 which executes on top of an application program interface (API) 214. The API provides an interface between service software 212 and controller software 216. The controller software communicates directly with router portion 220. In an exemplary embodiment of the invention, the service software provides network services in accordance with the MQLVS model for delivering video content.

The router portion 220 includes one or more network interface circuits 222 (e.g., Ethernet interfaces) coupled to the network 10. Data packets are received and transmitted over the networks by the network interface circuits. The network interface circuits 222 are coupled to an internal bus or switch 224 by way of forwarding controllers 226. Each forwarding controller 226 includes a forwarding control table 227.

A router typically comprises multiple output queues for a single physical port. Each queue can be set with different quality of service attributes, collectively referred to as QoS parameters. For example, each queue can have a different precedence for sending a data packet placed in the queue. Each queue can also have a different packet discard level. The forwarding control tables 227 contain information (the QoS

10

15

20

25

30

parameters) which establish the QoS settings of each output queue for the transmission of incoming data packets. A router control 228 maintains the forwarding control tables 227.

With respect to Figs. 1 and 2, a commonly used video platform for transmission over the network is the standard defined by the Motion Picture Experts Group (MPEG). In an MPEG data stream, the video data can be blocked in a data unit comprising an I-frame data packet, followed by groups of B-frame data packets. Each group of B-frame data packets has an associated P-frame data packet which, among other things, serves to separate one group of B-frames from the next. Decoding of a P-frame requires information contained in the I-frame. Decoding of a B-frame uses information contained in both the I-frame and its corresponding P-frame.

Each client 104 can decide on a particular level of video quality. A higher quality video consumes more bandwidth. Consequently, the client who requires or prefers high quality video can be charged a premium for the increased level of service. Most consumers are satisfied with a normal, but lower quality video and thus would be charged less for the service. The varying bandwidth requirements are shown in Fig. 1 by the differently sized data flow arrows. It is possible that a change in quality may need to be effectuated for all clients as a result of adverse network traffic conditions.

This service-changing capability is realized by selective packet transmission processing contained in each of the router devices 102. The content of the packets is recognized as being I-frame data, P-frame data, or B-frame data. In addition, there is logic to detect the low or high frequency element of the layered coding in the data packets. Depending on the desired quality of the video to be delivered to any one client 104, the flow control table 227 can be set to discard all the packets not needed to attain the desired quality level. Consequently, only those packets needed to achieve the desired quality will be forwarded.

In prior art systems, the quality level is set in the router prior to transmitting the video. If the quality level is changed during the transmission, the router will alter its packet selection processing accordingly. However, this means that certain packets may suddenly no longer be transmitted to some clients, or conversely some clients will begin receiving packets unexpectedly. In the case of MPEG data packets, for example, this could mean that a client might not receive a P-frame packet. As a consequence, the client would not be able to correctly decode a subsequently received B-frame packet. To avoid this situation, conventional routers change settings only at the beginning of data unit, namely, when the router receives an I-frame packet.

10

15

20

Referring to Figs. 2 – 4, packet handling in a router 102 in accordance with a first embodiment of the invention is shown. Fig. 3 illustrates an embodiment of a flow control table 227. The flow control table includes entries 1, 2, 3,... N. Each entry comprises a FLOW field 310, an ACTION field 320, a FLAG field 330, and a NEW\_ACTION field 340. The FLOW field 310 further comprises a source address subfield 312 and a corresponding destination address sub-field 314. Typically, a flow can be identified by

{ SRC ADDR, DST\_ADDR, SRC\_PORT, DST\_PORT, PROTOCOL }.

The ports are software entities assigned by the protocol (e.g., TCP/IP, UDP/IP, etc.), used to differentiate multiple flows between the same couple of computers (server & client). The FLOW field is matched against the information contained in the header field of an incoming packet, identifying which flow the incoming packet belongs to. The ACTION and NEW\_ACTION fields contain QoS parameters which determine the output QoS for an outgoing data packet, as will be explained below.

Consider, for example, the case of MQLVS (or DiffServ). The mapping between TOS value (or DSCP value) and the output queue are examples of QoS parameters. In this case, the QoS parameters would be a list of pair of values, TOS and Oueue ID:

{ {TOS=1, QueueID=4}, {TOS=2, QueueID=2}, ... }.

In another embodiment, the TOS (or DSCP) value can be added in FLOW field 310. In this case, the QoS parameter would be just the Queue ID. For both cases, it is assumed that the output queues are pre-configured with certain priority/discard settings, and assigning a particular packet to a queue means directly giving a particular (predetermined) QoS to the packet.

25

30

Fig. 4 outlines the processing of a forwarding controller 226. Processing begins by the arrival of a data packet over network 10. The network interface 222 receives the packet and delivers it to forwarding controller 226. The forwarding controller inspects the header of the data packet to find a matching entry in flow control table 227, step 402. It is contemplated that a "key" can be embedded in the payload, and a search be done against this information. In this case, the FLOW field would not simply contain information about a "flow" from point A to B, but rather information based on the content/semantics of the data itself. Continuing, step 402 matches the source address contained in the received data packet against the source address sub-field 312 of the

10

15

20

25

30

FLOW field 310 of each entry in the flow control table, and matching a destination address also contained the received data packet against the destination address sub-field 314 of the FLOW field 310 in each entry of the flow control table. Incidentally, additional subfields in Flow field 310 would similarly be matched.

Thus, the FLOW field is used as a "key" to match information contained in the header field of the incoming packet. That is to say, for each incoming packet, a search through the flow control table is done, and when matching entry is found in the FLOW field, corresponding ACTION is taken. Furthermore, a wildcard specification may be possible. For example, an entry "xx.yy.zz.\*" in SRC\_ADDR can match IP address "xx.yy.zz.1", "xx.yy.zz.3", and so on. The wildcard itself may be realized by introducing "mask" fields. For instance, SRC\_ADDR\_MASK of value "255.255.255.0" would give the same matching result as wildcard format.

Continuing, a test is made to determine if an entry for the received data packet was found, step 401. If no entry was found, then a DEFAULT\_ACTION is taken, step 410, in connection with how the data packet is to be forwarded. For example, the default action might simply be to dump the packet, without forwarding it. Processing of the received data packet is then concluded.

A matching entry is found if both the source and destination address sub-fields of its FLOW field 310 matches the source and destination fields contained in the received data packet. If a matching entry is found, processing proceeds to a second test at step 403. Here, the FLAG field 330 of the matching entry is inspected. If the FLAG field 330 is not set (e.g., contains a value of '0'), then processing proceeds to step 404. At step 404, the received data packet is transmitted from the router 102 (i.e. forwarded). The output QoS of the transmitted data packet is determined by the parameters contained in the ACTION field 320 of the matching entry. Processing of the data packet then concludes.

Returning to the test at step 403, if the FLAG field 330 is set (e.g., contains a value other than '0'), then processing proceeds to yet another test at step 405. In accordance with this embodiment of the invention, information contained in a predetermined portion of the data packet is tested. In one embodiment, a specific field (bit) in the IP packet header is used; for example, the UNUSED bits in the TOS field of the IP (v4) header, or the IP OPTIONS field of the IP header. In order to facilitate the test, the predetermined portion of the data packet preferably is a single bit that is either set (e.g., contains a '1') or not set (e.g., contains a '0'). If the predetermined portion is not

10

15

20

25

30

set, then processing proceeds according to step 404. That is, the data packet is transmitted with an output QoS determined by the QoS parameters contained in the ACTION field 320 of the matching entry. Processing of the packet is then concluded.

If the predetermined portion of the data packet is set, then processing proceeds to step 406. Here, the information contained in the NEW\_ACTION field 340 of the matching entry is copied to the ACTION field 320 of that entry. Detecting the presence of the information in the predetermined portion of the data packet triggers the copying of the contents in the NEW\_ACTION field to the ACTION field. By so doing, this changes the output QoS of the associated queue for subsequent transmissions through that queue.

Next, the FLAG field 330 of the matching entry is cleared (e.g., set to '0'), step 408. The data packet is then transmitted in step 404, as before, with an output QoS determined by the QoS parameters contained in the ACTION field 320 of the matching entry. However, the parameters contained in the ACTION field now are those obtained from the NEW\_ACTION field 340. Thus, the data packet will be transmitted in step 404 with a new QoS setting. Processing of the received data packet is then concluded.

Fig. 5 shows the process flow in the forwarding controller 226 in a variation of the first embodiment of the invention. As in the process flow of Fig. 4, processing begins by the arrival of a data packet over network 10 via network interface 222. The forwarding controller 226 inspects the contents of the data packet to find a matching entry in flow control table 227, step 502. The source address contained in the received data packet is matched against the source address sub-field 312 of the FLOW field 310 of each entry in the flow control table. A destination address also contained the received data packet likewise is matched against the destination address sub-field 314 of the FLOW field 310 in each entry of the flow control table. A test is made to determine if an entry for the received data packet was found, step 501. If no entry was found, then a DEFAULT\_ACTION is taken, step 510, in connection with how the data packet is to be forwarded. As before, the default action might simply be to dump the packet, without forwarding it. Processing for the received data packet then concludes.

Returning to the test at step 501, a matching entry is found if both the source and destination address sub-fields of its FLOW field 310 matches the source and destination fields contained in the received data packet. If a matching entry is found, processing proceeds to step 504. Here, the received data packet is transmitted from the router 102. The output QoS of the transmitted data packet is determined by the

10

15

20

25

30

parameters contained in the ACTION field 320 of the matching entry. Processing then proceeds to a test at step 503. Here, the FLAG field 330 of the matching entry is inspected. If the FLAG field 330 is not set (e.g., contains a value of '0'), then processing of the received packet, which has now been transmitted, concludes.

On the other hand, if the FLAG field 330 is set (e.g., contains a value other than '0'), then processing proceeds to yet another test at step 505. In accordance with this embodiment of the invention, information contained in a predetermined portion of the data packet is tested. For example, in order to facilitate the test, the predetermined portion of the data packet preferably is a single bit that is either set (e.g., contains a '1') or not set (e.g., contains a '0'). If the predetermined portion is not set, then processing of the received data packet, which has now been transmitted, concludes.

If the predetermined portion of the data packet is set, then processing proceeds to step 506. Here, the information contained in the NEW\_ACTION field 340 of the matching entry is copied to the ACTION field 320 of that entry. The FLAG field 330 of the matching entry is cleared (e.g., set to '0'), step 508. Detecting the presence of the information in the predetermined portion of the data packet triggers the copying of the contents in the NEW\_ACTION field to the ACTION field. Processing of the received data packet, which has now been transmitted, is then concluded.

The merit of the approach outlined in Fig. 5 becomes evident in the case where the router takes some time to effectuate a new QoS setting for a given output queue. If the QoS is reconfigured prior to transmitting the data packet, as is the case outlined in the processing of Fig. 4, the data packet containing the triggering information would be delayed for the time it takes to implement the new QoS setting. However, the delay can be avoided as shown in Fig. 5 by immediately transmitting the received data packet and then making the tests to detect for the presence of a new QoS setting.

In yet another variation of first embodiment of the invention, the data packet containing the triggering information can be delivered as a special data packet, rather than a data packet having an actual payload of video data. In this variation of the first embodiment, the forwarding controller 226 only needs to detect for the reception of the special packet as the triggering event. The parameters normally contained in the NEW\_ACTION field would be stored in a memory separate from the flow control table 227.

Referring back to the MQLVS system illustrated in Fig. 1, the video server 100 produces the data packet containing the triggering information. More specifically,

10

15

20

25

30

video server 100 sets the bit (flag) in the predetermined portion of the first data packet comprising a data unit, namely, the data packet carrying the I-frame data. This is easily implemented in software, in firmware, or in logic circuitry which handles the packetizing of the video data for transmission. It is understood that for other data server applications, other appropriate logic, firmware, or software would be used to determine when and how the triggering information would be provided.

Turning now to Fig. 6, an API used to set the flow control table in accordance with the first embodiment of the invention is illustrated by way of the exemplary C-language code fragment. The function call Set\_QoS() takes three arguments: a FLOW data structure; an ACTION data structure; and a FLAG data type. The FLOW data structure specifies source and destination addresses for the data packet that will contain the triggering information. The ACTION data structure contains among other things the parameters for a QoS setting of the output queue in the router associated with the destination address contained in the FLOW data structure. The FLAG data type specifies whether to immediately put into effect the QoS setting, or to delay the QoS setting.

Returning to Figs. 1 and 2, when the video server decides that a change in QoS is appropriate, it communicates with the service software 212 in the controller portion 210 of router 102. The server transmits new QoS information to the flow control table by way of the service software 212 to the API 214.

In one embodiment, the service software 212 could be a program written by a mobile code, such as Java, and sent by the video server at the beginning of service. In this case, the service software and the video server can retain a communication path (e.g., TCP/IP, UDP/IP, etc.) over the network connection. In another embodiment, which would be a "cleaner" approach, is to build the whole system on a distributed computing environment. One example of such an environment is CORBA (Common Object Request Broker Architecture). In this case, the objects consisting the service software and (part of) the video server can communicate via a mechanism called IIOP (Internet Inter-ORB Protocol; ORB: Object Request Broker), based on TCP/IP and physically can go over any network connection (e.g., LAN, WAN, etc.).

The service software uses this information for the API function call Set\_Qos(). More particularly, the information specifies the target\_flow argument, the new\_action argument, and the flag argument. Set\_Qos() looks for a matching entry in the flow table that matches the information contained in the target\_flow argument. If the flag

10

15

20

25

30

argument is CHANGE\_IMMEDIATE, then the new\_action argument is written to the ACTION field 320 of the matching entry. If the flag argument is CHANGE\_SYNCHRONOUS, then the NEW\_ACTION field in the entry is filled with information from the new action argument, and the corresponding FLAG field 330 is set.

As an alternative to communicating the new QoS information by way of the service software 212, special data packets can be defined which contain the same information. In this case, the forwarding controller 226 would detect the special packets. The forwarding controller would contain logic or code to extract the information from the special packets and feed them directly to the appropriate fields in the flow control table 227. A special packet can be defined by assigning a predetermined location in the packet to contain a one-bit "flag". The flag can be used to show that the packet is a special data packet with the new QoS information.

Referring to Figs. 2, 7, and 8, packet handling in a router 102 in accordance with a second embodiment of the invention is shown. Fig. 7 illustrates another embodiment of flow control table 227, having fields similar to the flow control table illustrated in Fig. 3. The flow control table shown in Fig. 7 includes entries 1, 2, 3,... N. Each entry comprises a FLOW field 710, an ACTION field 720, a MASK field 730, and a NEW\_ACTION field 740. The FLOW field 710 further comprises a source address sub-field 712 and a corresponding destination address sub-field 714. The ACTION and NEW\_ACTION fields contain QoS parameters which determine the output QoS for an outgoing data packet, as will be explained below. The MASK field is a bit pattern.

Fig. 8 outlines the processing of forwarding controller 226. Processing begins by the arrival of a data packet over network 10 via network interface 222. The forwarding controller inspects the contents of the data packet to find a matching entry in flow control table 227, step 802. This is done by matching a source address contained in the received data packet against the source address sub-field 712 of the FLOW field 710 of each entry in the flow control table, and matching a destination address also contained in the received data packet against the destination address sub-field 714 of the FLOW field 710 in each entry of the flow control table. A test is made to determine if an entry for the received data packet was found, step 801. If no entry was found, then a DEFAULT\_ACTION is taken, step 810, in connection with how the data packet is to be handled. For example, the default action might simply be to dump the packet, without forwarding it. Processing of the received data packet is then concluded.

٠.

5

10

15

20

25

30

A matching entry is found if both the source and destination address sub-fields of its FLOW field 710 matches the source and destination fields contained in the received data packet. If a matching entry is found, processing proceeds to a second test at step 803. Here, the MASK field 730 of the matching entry in the flow control table 227 is tested. If the mask value in the MASK field 730 is all zeroes, then processing proceeds to step 804. At step 804, the received data packet is transmitted from the router 102. The output QoS of the transmitted data packet is determined by the parameters contained in the ACTION field 720 of the matching entry. Processing of the packet is then concluded.

Returning to the test at step 803, if the value contained in the MASK field 730 is not all zeroes, then processing proceeds to yet another test at step 805. In accordance with this embodiment of the invention, the MASK field is compared to a predetermined portion of the data packet containing a similar sized mask field. In the case of the MQLVS system exemplified in Fig. 1, the predetermined field can be the type of service (TOS) field in the IP header of the data packet. If the MASK does not match the predetermined portion of the data packet, then processing proceeds according to step 804. At step 804, the data packet is transmitted with an output QoS determined by the QoS parameters contained in the ACTION field 720 of the matching entry which concludes processing of the data packet.

If the predetermined portion of the data packet matches the MASK field 730, then processing proceeds to step 806. Here, the information contained in the NEW\_ACTION field 740 of the matching entry is copied to the ACTION field 720 of that entry. Detecting the presence of the information in the predetermined portion of the data packet triggers the copying of the contents in the NEW\_ACTION field to the ACTION field.

Next, the MASK field 730 of the matching entry is cleared (i.e., set to all zeroes), step 808. The data packet is then transmitted, step 804, with an output QoS determined by the QoS parameters contained in the ACTION field 720 of the matching entry. However, the parameters contained in the ACTION field are those obtained from the NEW\_ACTION field 740. Thus, the data packet will be transmitted in step 804 with a new QoS setting. Processing of the received data packet is then concluded.

Fig. 9 shows the process flow in the forwarding controller 226 in accordance with a variation of the second embodiment of the invention. As in the process flow of Fig. 8, processing begins by the arrival of a data packet over network 10 via network interface 222. The forwarding controller inspects the contents of the data packet

10

15

20

25

30

to find a matching entry in flow control table 227, step 902. The source address contained in the received data packet is matched against the source address sub-field 712 of the FLOW field 710 of each entry in the flow control table. A destination address also contained the received data packet likewise is matched against the destination address sub-field 714 of the FLOW field 710 in each entry of the flow control table. A test is made to determine if an entry for the received data packet was found, step 901. If no entry was found, then a DEFAULT\_ACTION is taken, step 910, in connection with how the data packet is to be handled. For example, the default action might simply be to dump the packet, without forwarding it. Processing for the received data packet then concludes.

Returning to the test at step 901, a matching entry is found if both the source and destination address sub-fields of its FLOW field 710 matches the source and destination fields contained in the received data packet. If a matching entry is found, processing proceeds to step 904. Here, the received data packet is transmitted from the router 102. The output QoS of the transmitted data packet is determined by the parameters contained in the ACTION field 720 of the matching entry.

Processing then proceeds to a test at step 903. Here, the MASK field 730 of the matching entry is inspected. If MASK field 730 is all zeroes, then processing of the received packet, which has now been transmitted, concludes.

On the other hand, if MASK field 730 is not all zeroes, then processing proceeds to yet another test at step 905. In accordance with this embodiment of the invention, the MASK is compared to the predetermined portion of the data packet. If the predetermined portion does not match the MASK, then processing of the received data packet, which has now been transmitted, concludes.

If the predetermined portion of the data packet matches, then processing proceeds to step 906. Here, the information contained in the NEW\_ACTION field 740 of the matching entry is copied to the ACTION field 720 of that entry. The MASK field 730 of the matching entry is set to all zeroes, step 908. Detecting a match with the predetermined portion of the data packet triggers the copying of the contents in the NEW\_ACTION field to the ACTION field. Processing of the received data packet, which has now been transmitted, is then concluded.

The merit of the approach outlined in Fig. 9 becomes evident in the case where the router takes some time to effectuate a new QoS setting for a given output queue. If the QoS is reconfigured prior to transmitting the data packet, as is the case outlined in the processing of Fig. 8, the data packet which triggers the copying would be

10

15

20

25

30

delayed for the time it takes to implement the new QoS setting. However, the delay can be avoided as shown in Fig. 9 by immediately transmitting the received data packet and then effectuating a new QoS setting.

Turning now to Fig. 10, an API used to set the flow control table in accordance with the second embodiment of the invention is illustrated by way of the exemplary C-language code fragment. The function call Set\_QoS() takes three arguments: a FLOW data structure; an ACTION data structure; and a MASK argument. The FLOW data structure specifies source and destination addresses for the data packet that will contain the triggering information. The ACTION data structure contains among other things the parameters for a QoS setting of the output queue in the router associated with the destination address contained in the FLOW data structure. The MASK is an 8-bit bit pattern.

With reference to Figs. 1 and 2, when the video server decides that a change in QoS is appropriate, it communicates with the service software 212 in the controller portion 210 of router 102. The video server transmits new QoS information to the flow control table by way of the API. The service software 212 uses this information for the API function call Set\_Qos(). More particularly, the information specifies the target\_flow argument, the new\_action argument, and the mask argument. Set\_Qos() looks for a matching entry in the flow table that matches the information contained in the mask argument. The NEW\_ACTION field 740 of the matching entry is filled with information from the new\_action argument, and the value of the mask argument is copied to the corresponding MASK field 730 of the matching entry.

As an alternative to communicating the new QoS information by way of the service software 212, special data packets can be defined which contain the same information. In this case, the forwarding controller 226 would detect the special packets. Logic or software or the like contained in the forwarding controller would extract the information from the packets and deliver it directly to the appropriate fields in the flow control table 227.

Fig. 11 shows a variation of the API used to set the flow control table of Fig. 7. This variation of the API is quite general, allowing the location of the predetermined portion of the incoming data packet to be defined anywhere within the data packet, in addition to specifying the mask value. The predetermined portion can be in the packet header or in the payload itself, at a specified location with a specified length.

10

15

20

25

30

The function call Set\_QoS() takes three arguments: a FLOW data structure; an ACTION data structure; and a MASK data structure. The FLOW data structure and ACTION data structures are as previously defined. The MASK data structure can accommodate header and payload specifications. If the predetermined portion is to be located in the header, then the relevant data structure is the HEADER FIELD. This data structure specifies which field in the header of the data packet that the mask value will be compared against. If the predetermined portion is to be located in the payload, then the relevant data structure is the PAYLOAD\_FIELD. This data structure specifies by offset\_position where, from the beginning of the payload, the predetermined portion begins. A field\_length specifies the length of the field to be compared against the mask value. Whether a HEADER\_FIELD or a PAYLOAD\_FIELD is specified, there is a field\_value which specifies the mask value to be stored in the flow control table.

Yet another variation of the API for setting the flow control table of Fig. 7 is illustrated in Fig. 12. Here, multiple invocations of Set\_QoS() can be made. The mask value to the invocations can be set by a single function call to Commit\_Change(). Each entry affected by a Set\_QoS() call would receive the mask value.

Fig. 13 shows yet a third embodiment of the invention wherein the flow control table 227 incorporates a vector of plural entries of QoS parameters. In addition to the FLOW field 1310 and the ACTION field 1320, a NEW\_ACTION\_LIST field 1350 is provided. The NEW\_ACTION\_LIST field 1350 is a pointer to an array of QoS settings 1370. Each entry in the array comprises a MASK field 1330, and a NEW\_ACTION field 1340 containing the QoS parameters. Thus, for a given entry in the flow control table 227, there can be a multitude of QoS settings for the corresponding output queue. The particular QoS level chosen depends on the which MASK field 1330 matches the bit pattern contained in the predetermined location of the received data packet.

The API for setting this variation of the flow control table can be readily obtained by making appropriate modifications to the embodiments of API's illustrated in Figs. 10 - 12. The MASK and ACTION data structures would be altered to be lists instead of single element structures.

In a variation of the flow control table of Fig. 13, the NEW\_ACTION\_LIST can have a persistent mode. In the earlier described embodiments, the MASK field is cleared upon changing the QoS setting. For example, step 808 in Fig. 8 is a step of clearing the MASK field. With a persistent mode of operation, the MASK

10

15

20

25

30

field remains unaffected until it is explicitly deleted. This can be implemented by providing an appropriate API.

Providing for a persistent mode feature in the flow control table of Fig. 13 would be useful for traffic flow situations where the pattern of bandwidth requirements is known. For example, in the case of a video stream, the bandwidth requirements for different scenes in the video vary. Thus, it is possible to vary the bandwidth requirements of the network as a function of the video content. In addition, this information can be determined *a priori* for the entire video stream. Fig. 14, for example, shows a typical sequence where the bandwidth requirement changes over time.

The video server 100 can program the flow control table of Fig. 13 with the QoS parameters comprising the list of QoS settings in array 1370 by an appropriate API. Alternatively, an appropriate protocol can be defined to provide a list of QoS parameters by way of special data packets.

During a video transmission, the video server sets mask values in the appropriate video data packets to select the QoS in the router 102 according to the bandwidth required for the different scenes in the video transmission. For example, the first packet of each scene would provided with a mask value to select the QoS needed for that scene. Upon receiving this packet, the router will set the output QoS accordingly. When the video scene changes, the first packet in the next scene will have a different mask value, one that will select an appropriate QoS in the router. By adjusting the flow control table dynamically in this way, the usage of the router resources can be made efficient. Resources would be released when the bandwidth requirement of the video is lessened, and vice-versa, thus minimizing the consumption of resources.

The API shown in Fig. 6 can also be used in a similar manner. Each time a scene change is about to occur, the video server can invoke the API using the CHANGE IMMEDIATE flag.

It is understood that the forgoing discussion can be readily extended to include network devices other than router devices. Typically, the service provider dos not have its own router. Routers and switches are maintained by the network providers. Furthermore, from a server to any client, the communication path may traverse multiple network domains. The present invention can be readily adapted by persons of ordinary skill in the art to switching devices and in general to network devices along the communication path between the server and the client. For example, the QoS settings in two or more network devices along the communication path from the server to the client

10

15

can be adjusted in accordance with the invention. Device addressing information can be readily incorporated to identify the network device(s) of interest, so that subsequent QoS parameters can be communicated thereto. Multicast transmissions can be used in cases where multiple network devices can share the same QoS parameters.

As noted above, the video server disclosed herein is simply an example by which the inventive features and aspects of the present invention can be presented. The invention is applicable to data servers in general and should not be construed as being limited to video servers.

The present invention enables the data service provider to design new services based on finer control of the data transmission resources. For example, in the MQLVS system illustrated in Fig. 1, instead of limiting the service changes solely on the basis of the first packet of an MPEG data unit, the service provider can control the service changes in terms of time clicks, on the basis of the video content as the video scene changes, as traffic conditions vary, and so on. Service can be incorporated with the accounting and/or billing policies of the provider, where customers at the client end pay the provider depending on the quality of services that they desire. The invention can be utilized to increase effective usage of network resources.

# WHAT IS CLAIMED IS:

1	1. In a data delivery system having a data server in data
	communication with plural network devices, a method of changing a current network
2	
3	device attribute of a target network device, said method comprising steps of:
4	transmitting first information from said data server to said target network
5	device, said first information comprising at least one new network device attribute;
6	storing said new network device attribute in said target network device;
7	transmitting second information from said data server to said target
8	network device; and
9	in response to receiving said second information, changing said current
10	network device attribute of said target network device in accordance with said new
l 1	network device attribute.
1	2. The method claim 1 wherein each network device attribute is a
2	QoS setting.
1	3. The method of claim 1 wherein said first information includes an
2	address of said target network device.
1	4. The method of claim 1 wherein said step of transmitting said
2	second information includes incorporating said second information in a data packet
3	destined for a client.
1	5. The method of claim 4 further including forwarding said data
	packet by transmitting it from said target network device, wherein said step of changing
2	
3	said current network device attribute is performed prior to said step of forwarding.
1	6. The method of claim 4 further including forwarding said data
2	packet by transmitting it from said target network device, wherein said step of changing
3	said current network device attribute is performed subsequent to said step of forwarding.
1	7. The method of claim 1 wherein said step of transmitting said
	second information includes incorporating said second information in a data packet that
2	
3	not destined for a client.

1	8. The method of claim 1 wherein said step of transmitting said first
2	information includes incorporation said first information in a data packet destined for a
3	client.
1	9. The method of claim 1 wherein said step of transmitting said first
2	information includes incorporating said first information in a data packet that is not
3	destined for a client.
1	10. In a data delivery system having a data server in data
2	communication with plural network devices, a method of changing a quality of service
3	(QoS) of a target network devices, said method comprising steps of:
4	transmitting first information from said data server to said target network
5	device, said first information representing a new QoS and comprising at least one QoS
6	parameter;
7	storing said at least one QoS parameter in said target network device;
8	transmitting second information from said data server to said target
9	network device; and
0	in response to receiving said second information, changing said QoS of
1	said target network device in accordance with said stored QoS parameter, thereby putting
2	into effect said new QoS.
1	11. The method of claim 10 wherein said first information includes an
2	address of said target network device.
1	12. The method of claim 10 wherein said step of transmitting said
2	second information includes incorporating said second information in a data packet
3	destined for a client.
1	13. The method of claim 12 further including forwarding said data
2	packet by transmitting it from said target network device, wherein said step of changing
3	said QoS is performed prior to said step of forwarding.
1	14
1	14. The method of claim 12 further including forwarding said data

packet by transmitting it from said target network device, wherein said step of changing

said QoS is performed subsequent to said step of forwarding.

1	15. The method of claim 10 wherein said step of transmitting said
2	second information includes incorporating said second information in a data packet that is
3	not destined for a client.
1	16. The method of claim 10 wherein said step of transmitting said first
2	information includes incorporation said first information in a data packet destined for a
3	client.
1	17. The method of claim 10 wherein said step of transmitting said first
2	information includes incorporating said first information in a data packet that is not
3	destined for a client.
1	18. The method of claim 10 further including accumulating list of
2	plural entries in said target network device, each entry having at least one QoS parameter.
1	19. The method of claim 10 wherein said first information is a list of
2	plural entries, each entry having at least one QoS parameter.
1	20. The method of claim 19 wherein said second information includes
2	an index and said step of changing said QoS includes indexing into said list on the basis
3	of said index.
1	21. A data delivery system comprising:
2	a data server configured to transmit data packets to a network; and
3	at least one network device configured to receive data packets from said
4	network and to transmit data packets to said network,
5	said data server further configured to transmit first information to said
6	network device, said information representing a quality of service (QoS) setting of said
7	network device and comprising at least one QoS parameter,
8	said network device having a memory for storing said first information,
9	said data server further configured to transmit second information to said
10	network device,
11	said network device further configured to change its QoS setting in
12	accordance with said first information, in response to receiving said second information.

1		22.	The system of claim 21 wherein said second information is
2	incorporated i	n a data	a packet destined for a client, said data packet being received by said
3			network device being further configured to forward said data packet
4	to said networ	rk.	
			C. 1.1. 20. 1
1		23.	The system of claim 22 wherein network device is further
2	configured to	change	said QoS prior to forwarding said data packet.
1		24.	The system of claim 22 wherein network device is further
2	configured to	change	said QoS subsequent to forwarding said data packet.
1		25.	The system of claim 21 wherein said second information is
2	incorporated	in a data	a packet not destined for a client.
_		26	The system of claim 21 wherein said first information is
1		26.	•
2	incorporated	in a dat	a packet destined for a client.
1		27.	The system of claim 21 wherein said first information is
2	incorporated	in a dat	a packet not destined for a client.
1		28.	The system of claim 21 wherein said memory is configured to
2	contain a list	of plura	al QoS settings, each QoS setting having at least one QoS parameter.
1		29.	The system of claim 21 wherein said first information is a list of
	114		entry having at least one QoS parameter.
2	piurai entries	, each e	entry having at least one Qo3 parameter.
1		30.	The system of claim 29 wherein said second information includes
2	an index valu	ie ident	ifying an entry in said list, whereby said QoS of said network device
3	is changed in	accord	ance with the QoS parameters corresponding to said identified entry.
1		31.	In a network device, a method for setting a quality of service (QoS)
2	configuration	n compr	rising steps of:
3			ring at least one QoS parameter from an external source;
4		-	ving data packets, first types of which are to be transmitted from said
5	network dev		second types of which are to be retained within said network device;
6	HOUN OIR GOV.		ach received data packet, inspecting it for information of a first kind;
7	and		

1

on said index information.

8	in response to detecting said information of a first kind, setting said QoS
9	configuration in accordance with said QoS parameter.
1	32. The method of claim 31 wherein said information of a first kind is
2	contained in a data packet of a first type, said step of setting said QoS configuration is
3	performed prior to transmitting said data packet.
1	33. The method of claim 31 wherein said information of a first kind is
2	contained in a data packet of a first type, said step of setting said QoS configuration is
3	performed subsequent to transmitting said first type of data packet.
1	34. The method of claim 31 wherein said information of a first kind is
2	contained in a data packet of a second type.
1	35. The method of claim 31 wherein said step of acquiring at least one
2	QoS parameter includes extracting said at least one QoS parameter from a received data
3	packet.
1	36. The method of claim 35 wherein said at least one QoS parameter is
2	contained in a data packet of a first type.
1	37. The method of claim 35 wherein said at least one QoS parameter is
2	contained in a data packet of a second type.
1	38. The method of claim 31 further including producing a list of plural
2	entries, each entry containing at least one QoS parameter, said list thereby defining a list
3	of QoS configurations.
1	39. The method of claim 31 wherein said step of acquiring at least one
2	QoS parameter includes receiving a list of QoS parameters, said list containing plural
3	entries, each entry containing at least one QoS parameter.
1	40. The method of claim 39 wherein said information of a first kind
2	includes index information and said step of setting includes indexing into said list based

41. A network device comprising:

2	network circuitry configured for connection to a network, said network
3	circuitry effective for receiving data packets from said network;
4	data monitoring circuitry in data communication with said network
5	circuitry and configured to detect the presence of a received data packet containing first
6	information;
7	control circuitry operatively coupled to said network circuitry and to said
8	data monitoring circuitry;
9	a memory in data communication with said control circuitry and
10	configured to contain service information including a current quality of service (QoS)
11	setting;
12	first program code to operate said control circuitry in a manner to receive
13	one or more externally provided QoS parameters; and
14	second program code to operate said control circuitry in a manner to alter
15	said service information in accordance with said QoS parameters in response to said data
16	communication circuitry detecting said received data packet containing said first
17	information, thereby changing said current QoS setting.
1	42. The device of claim 41 wherein said data monitoring circuitry is a
2	portion of said memory, said portion being configured to contain a third program to
3	operate said control circuitry in a manner to detect said first information in a received
4	data packet.
1	43. The device of claim 41 further including third program code to
2	operate said control circuitry in a manner to transmit said received data packets, said
3	second program code and said third program code configured so that said QoS is altered
4	prior to transmission of the received data packet containing said first information.
1	44. The device of claim 41 further including third program code to
2	operate said control circuitry in a manner to transmit said received data packets, said
3	second program code and said third program code configured so that said QoS is altered
4	subsequent to transmission of the received data packet containing said first information.
1	45. The device of claim 41 wherein said one or more QoS parameters

are contained in at least one of said received data packets.

1	46. The device of claim 41 wherein said received data packets include
2	first type data packets which are transmitted from said network device and second type
3	data packets which are retained in said network device, said first information being
4	contained in said second type data packets.
1	47. The device of claim 41 wherein said received data packets include
2	first type data packets which are transmitted from said network device and second type
3	data packets which are retained in said network device, said one or more QoS parameters
4	being contained in said second type data packets.
1	48. The device of claim 41 further including second memory
2	configured to contain a list of plural QoS settings, each QoS setting comprising at least
3	one QoS parameter.
1	49. The device of claim 41 wherein said first information is a list
2	containing plural entries of QoS parameters, each entry of QoS parameters representing a
3	different QoS setting.
1	50. The device of claim 49 wherein said first information includes
2	index information effective for specifying an entry in said list, said index information
3	thereby specifying one of said QoS settings.
1	51. In a data server configured for delivering data to a client over a
2	network having one or more network devices, a method of setting a quality of service
3	(QoS) comprising steps of:
4	communicating first information to at least one target network device, said
5	first information representing a QoS setting comprising one or more QoS parameters;
6	producing a first data packet containing second information; and
7	communicating said first data packet to said network device, wherein said
8	network device responds by setting its QoS setting in accordance with said first
9	information.
1	52. The method of claim 51 wherein said first information is a list of

plural entries, each entry having one or more QoS parameters representing a QoS setting.

1	53.	The method of claim 52 wherein said second information includes
2	an index identifying o	one of said entries in said list, said second information thereby
3	specifying one of said	l QoS settings.
1	54.	The method of claim 51 wherein said first data packet includes data
2	destined for a client.	
1	55.	The method of claim x5 wherein said first data packet includes data
2	destined only for said	network device.
1	56.	The method of claim 51 wherein said first information is contained
2	in a second data pack	et having data destined for a client.
1	57.	The method of claim 51 wherein said first information is contained
2	in a second data pack	et destined only for said network device.

# first from the first that the

5

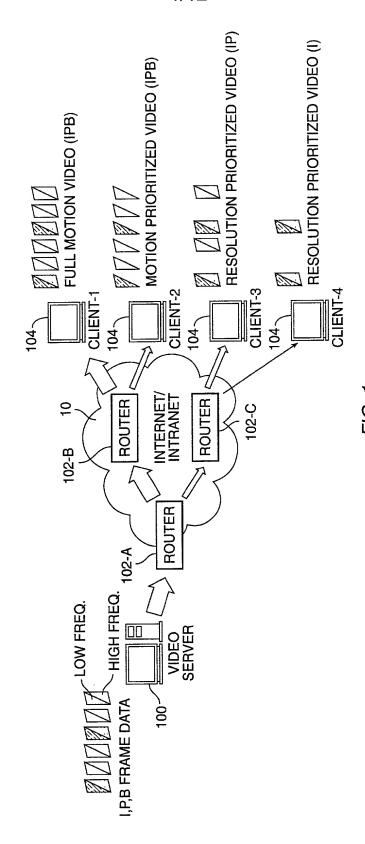
10

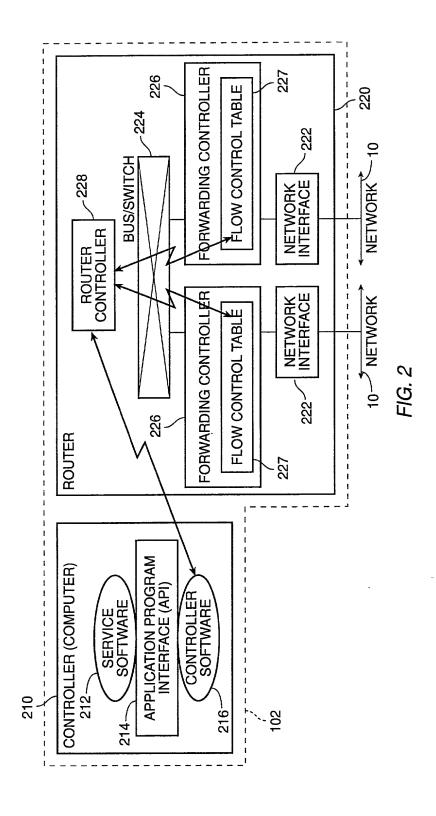
# METHOD AND APPARATUS FOR MANAGING QUALITY OF SERVICE IN NETWORK DEVICES

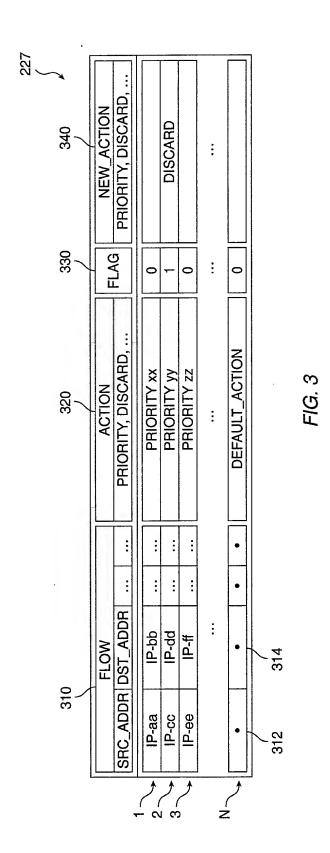
## ABSTRACT OF THE DISCLOSURE

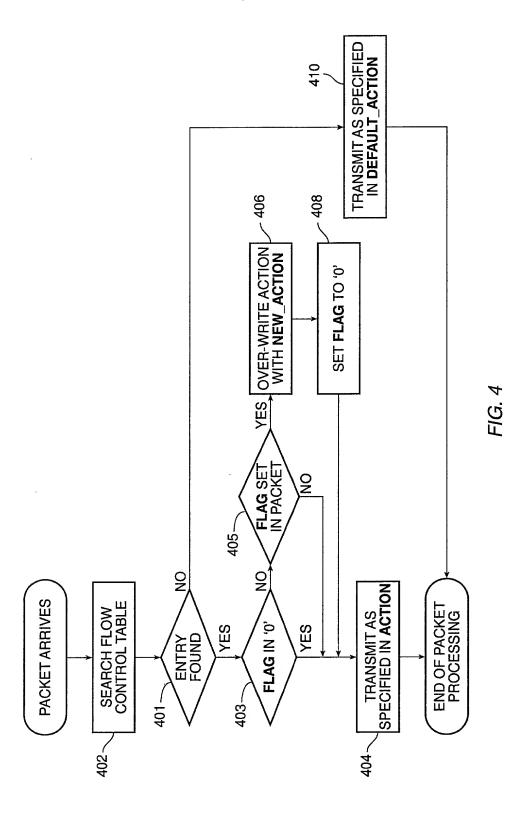
A network system comprises a network of data sources interconnecting plural clients by way plural network devices. A data server achieves flexibility in its use of network resources by altering the attributes of one or more network devices along the communication channels between the data server and the plural clients. This is accomplished during the normal course of data transmissions to the plural clients, thus providing real time control over the network device attribute. The invention is particularly useful in data servers, such as a video server system, in which data transmissions are characterized by a long sequence of data packets.

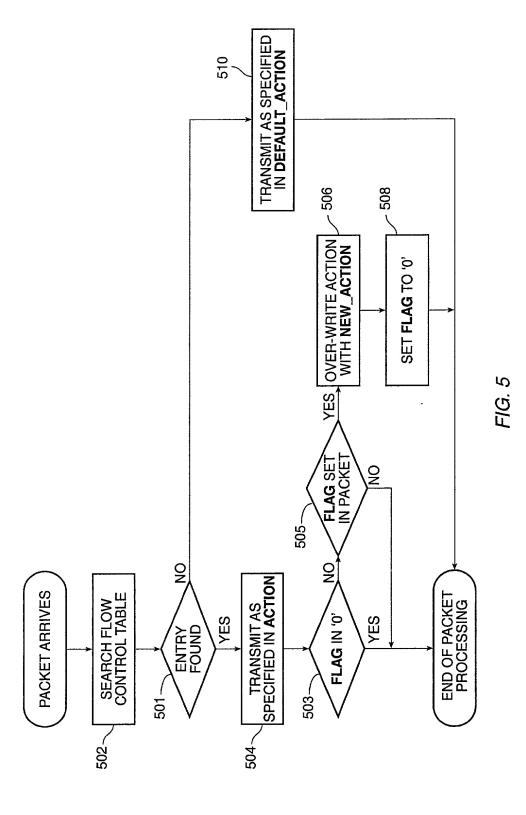
PA 3051617 v1



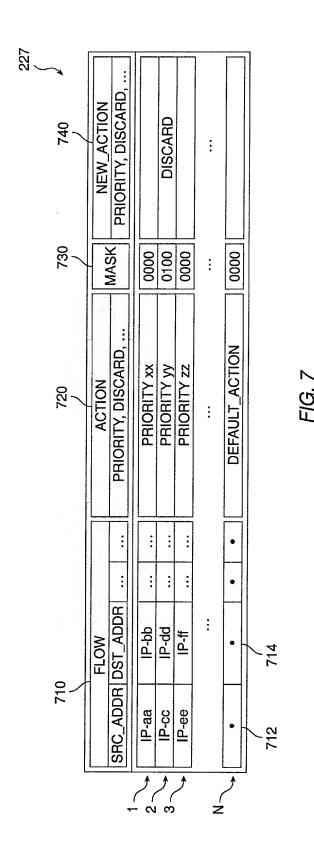


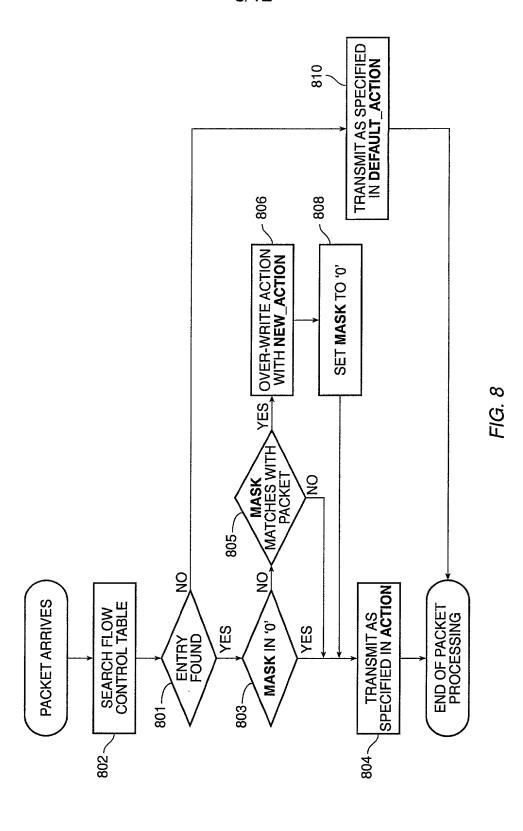


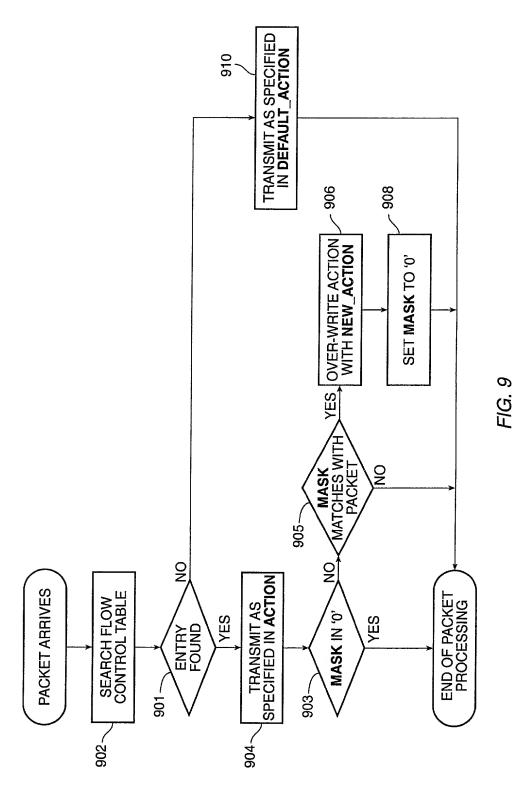




```
struct END_POINT {
      IP_ADDRESS
                              ipaddr;
                                            /* may be range of addresses */
                                            /* may be range of ports
      unsigned short
                              port;
};
struct FLOW {
      END_POINT
                              source;
      END_POINT
                              destination;
      octet
                              protocol_id;
};
struct ACTION {
      /* Specify priority, mode (DISCARD), etc), etc.
      /* Could be a list, relating values of particular packet field to an ACTION */
};
enum FLAG { CHANGE_IMMEDIATE, CHANGE_SYNCHRONOUS };
boolean Set_QoS ( in FLOW target_flow, in ACTION new_action, in FLAG flag );
                                 FIG. 6
```







```
boolean Set_QoS ( in FLOW target_flow, in ACTION new_action, in octet mask ) ; FIG. 10
```

```
enum HEADER_FIELD { TOS_FIELD, ... };
typedef sequence<octet>
                            FIELD_VALUE;
struct PAYLOAD_FIELD {
      unsigned short
                            offset_position;
      unsigned short
                            field_field;
};
enum MASK_POSITION { IN_HEADER, IN_PAYLOAD };
union MASK switch (MASK_POSITION) {
   case IN_HEADER:
      HEADER_FIELD
                            target_header_field;
      FIELD_VALUE
                            field_value;
   case IN_PAYLOAD;
      PAYLOAD_FIELD
                            target_payload_field;
      FIELD_VALUE
                            field_value;
};
boolean Set_QoS (in FLOW target_flow, in ACTION new_action, in MASK mask);
                               FIG. 11
```

boolean Set\_QoS ( in FLOW target\_flow, in ACTION new\_action ) ;
boolean Commit\_Change ( in MASK mask ) ;

FIG. 12

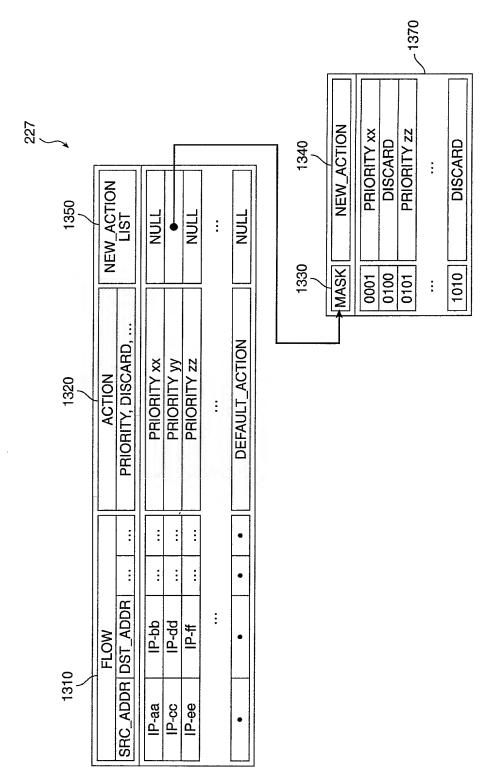
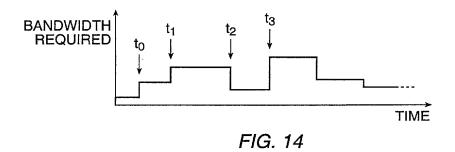


FIG. 1.



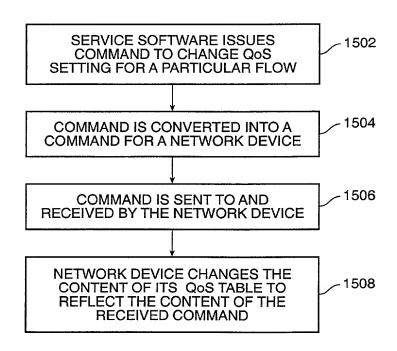


FIG. 15

#### DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: "METHOD AND APPARATUS FOR MANAGING QUALITY OF SERVICE IN NETWORK DEVICES," the specification of which is enclosed herewith.

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

# Prior Foreign Application(s)

ζħ

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No. Date of Filing	Status
Application No. Date of Filing	Status

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Robert C. Colwell, Reg. No. 27,431 William L. Shaffer, Reg. No. 37,234 Paul A. Durdik, Reg. No. 37,819 Kim Kanzaki, Reg. No. 37,652 George B.F. Yee, Reg. No. 37,478

# DECLARATION AND POWER OF ATTORNEY -- Continued

Attorney Docket No. 16869C-005400US Client Ref. No. HAL-ID-133

Send Correspondence to:

George B. F. Yee

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834

Direct Telephone Calls to:
(Name, Reg. No., Telephone No.)
Name: George B. F. Yee
Reg. No.: 37,478
Telephone: 650-326-2400

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

false s	tatements may jeopardize the validity of the	both, under Section 1001 of Title 18 of the United State application or any patent issuing thereon.
<u>Invent</u>	or No. 1:	
	Satoshi Yoshizawa 12309 Obrad Drive Saratoga, California 95050 Citizenship: Japan	Satoshi Joshizawa Satoshi koshiza Date: February 28, 2000
<u>Invent</u>	<u>or No. 2</u> :	
off work and and was value	Kenichi Otsuki 1724 Sand Hill Road, #203 Palo Alto, California 94304 Citizenship: Japan	Date: Feb. 28, 3
PA 305	3427 v1	